IAP15 Rec'd PCT/PTO 05 SEP 2006

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> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 8932-1289-999

FORM PTO-1390 (REV. 7-2005)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37CFR 1.5)
To be Assigned Q 1 Q 3 3

Express Mail No.: EV 809 055 374 US

INTERNATIONAL APPLICATION NO. PCT/CH2004/000131

INTERNATIONAL FILING DATE March 5, 2004

PRIORITY DATE CLAIMED

TITLE OF INVENTION

TITLE OF INVENTION USE OF A MIXTURE FOR THE PRODUCTION OF AN AGENT FOR TREATING DEFECTIVE OR DEGENERATED CARTILAGE IN THE PRODUCTION OF NATURAL CARTILAGE REPLACEMENT IN VITRO APPLICANT(S) FOR DO/EO/US Markus Wimmer, Mauro Alini and Thomas Kaup Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1. 冈 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 冈 The U.S. has been elected (Article 31). 5. 図 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. h. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 冈 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). \boxtimes is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 囟 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 図 An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98; with a List of References Cited by Applicant and copies of 冈 references B01-B05 and C01-C05. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. \boxtimes A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 冈 A substitute specification; with a Transmittal of Substitute Specification Under 37 CFR 1.121 and 1.125(b) and (c) and a marked-up copy of the specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821-1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). П 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information

This collection of information is required by 37 CPR 1.414 and 1.49.1-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

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Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

US APPLICATION NO. (IF 15 17 18 13 INTERNATIONAL APPLICATION NO. PCT/CH2004/000131							NO.	ATTORNEY'S DOCKET NUMBER 8932-1289-999			
The following fees are submitted:											
21. Basic national fee											
22. \(\sum \) Examination fee											
Was the Written Opinion prepared by the ISA/USPTO or did the International											
Preliminary Examination Report prepared by the USPTO indicate that all											
filed claims in this U.S. application satisfy provisions of PCT Article											
33(1)-(4) (i.e., novelty, inventive step and industrial capability)?											
i) Yes (\$0.00)											
☑ ii) No (\$200.00)											
23. Search fee											
Did the Written Opinion of the ISA/USPTO or the International preliminary											
examination report prepared by the USPTO indicate that all claims satisfy											
provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)?											
industrial capability)? i) Yes (\$0.00)											
ii) If no:											
(a) A Search Fee has been paid on the international application to the											
USPTO as an International Search Authority. (\$100.00)											
(b) An International Search Report prepared by an ISA other than the											
US and provided to the Office or previously communicated to the											
US by the IB. (\$400.00)											
(c) All other situations (\$500.00)											
TOTAL OF CALCULATIONS =									\$	\$900.00	
									<u> </u>		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for											
each addition					electronic mediu	111). 1116 16	cc is \$250 ior				
Total Sheets		tra Sheets			dditional 50 or 1	Fraction	RATE				
Total Sheets	EX	ira Sneets					KAIE				
11 -100 0 /50			thereof (round up to a whole number).							\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest											
claimed priority date (37 CFR 1.492 (e)).									\$	\$0.00	
CLAIMS					UMBER EXTRA RATE X						
Total Claims		19 - 20			0	x \$50.00				\$0.00	
Independent Claims		2-3			0	x \$200.00		=		\$0.00	
MIII TIPLE	DEPE	NDENT CLAIM(S) (if applica				+ 360.00		<u> </u>		\$360.00	
										\$1260.00	
TOTAL OF ABOVE CALCULATIONS=								=	\$	\$1260.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced									- \$	\$0.00	
by 50%.											
SUBTOTAL =									\$	\$1260.00	
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest										40.00	
claimed priority date (37 CFR 1.492(f)).										\$0.00	
								=	s	\$1260.00	
TOTAL NATIONAL FEE									3	\$1200.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be									s	\$0.00	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property											
TOTAL FEES ENCLOSED								=	\$	\$1260.00	
								Amo	<u> </u>	be refunded:	
								Aillo	unt to		
charged:											
a. A check in the amount of \$ to cover the above fees is enclosed.											
b. This is an estimate of the fees due. Please charge Deposit Account											
No. 50-3013 to cover the required fees. A copy of this sheet is enclosed.											
c. Account No. 50-3013. A copy of this sheet is enclosed.											
Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.											
					e mailed to JON		customer numbe	er 51832.			
25. 🛛 All tele	ephone	inquiries sho	uld be made	to (212)	901-9028.						
KEC.NO. 49,013											
Brian M. Rothery Brian M. Rothery by Ann N. Cla 35,340										September 5, 2	006
NAME			SIGNATU	JRE	1		REG. NO.			DATE	

19/591833

USPTO MAIL CENTER SEP 0 5 2006 EXPRESS MAIL LABEL DATE IN Addressee Copy Label 11-F. April 2004 **EXPRESS** Post Office To Addressee EV809055374US ☐ AM ORIGIN (POSTAL SERVICE USE ONLY) ☐ PM Employee Signature ☐ AM ☐ Next ☐ 2nd ☐ 2nd Del. Day Scheduled Date of Delivery Return Receipt Fee ☐ PM ☐ AM **Delivery Date** Day Scheduled Time of Delivery COD Fee ☐ PM Mo. 9 Day 5 Year Of Total Postage & Fees 3rd Day Acceptance Emp. Initials Flat Rate or Weight ths. oz TO: (PLEASE PRINT) or es) of Mail or Call 1-800-222-1811 FOR PICKUP OR TRACKING: Visit WWW.USPS.COM

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